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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858,337	05/15/2001	William J. Schaff	1153.044US1

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402CONFIRMATION NO. 1100
FORMALITIES LETTER
OC00000006399297

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

D/ 8 OCT. 2001 & 8 MAR. 2002

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$420
 - \$180 for 10 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1260.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Schwegman, Lundberg,
Woessner & Kluth, P.A.

10/17/2001 SZEWDIE1 00000004 09858337

01 FC:201
02 FC:203
03 FC:202
04 FC:205370.00 OP
90.00 OP
126.00 OP
65.00 OPAUG 13 2001
RECEIVED

S/N 09/858,337

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William J. Schaff et al. Examiner: Unknown
Serial No.: 09/858,337 Group Art Unit: Unknown
Filed: May 15, 2001 Docket: 1153.044US1
Title: AIN COATED HETEROJUNCTION FIELD EFFECT TRANSISTOR AND
METHOD OF FORMING AN AIN COATING

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$586.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6972.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

WILLIAM J. SCHAFF ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6972

Date 10/4/2001

By 

Bradley A. Forrest
Reg. No. 30,837
BAF:CMG:ajk

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 5 day of October, 2001.

Leah K. Riveland
Name

Leah K. Riveland
Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William J. Schaff et al.

Title: AIN COATED HETEROJUNCTION FIELD EFFECT TRANSISTOR AND METHOD OF FORMING
AN AIN COATING

Docket No.: 1153.044US1

Filed: May 15, 2001

Examiner: Unknown

Serial No.: 09/858,337

Due Date: October 8, 2001

Group Art Unit: Unknown

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$586.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (4 pgs.).
- ☒ Notice to File Missing Parts (1pg.)

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Bradley A. Forrest
Name: Bradley A. Forrest
Reg. No. 30,837
BAF:CMG:ajk

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 5 day of October, 2001.

Leah K. Riveland
Name

Leah K. Riveland
Signature